

CERTIFICATION DEPARTMENT

APPLICATION FOR ISSUANCE OF A LICENCE

Real Estate Agency Legal Person and Partnership

IMPORTANT

SECTION I - IDENTIFICATION

This form must be used to submit an application for the issuance of a real estate agency licence in the case of a legal person or partnership. The name under which the agency wishes to operate listed in Section I must meet certain criteria and comply with the regulations. You must then wait for your file to be fully approved by the OACIQ before registering the name under which you wish to operate with **Registraire des entreprises du Québec**.

A licence application is deemed received only once all information and documents required hereunder have been provided by the applicant and the application examination fee has been paid. After the analysis, you will have to pay the applicable fees for the related month. For more details, read this article on synbad.com.

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Language of correspondence:	French	English	1															
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SECTION IV – APPOINTMENT	r of Sign	IING OF	FICER	<u></u>														
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SECTION III - CORRESPONDENCE PREFERENCES

SECTION V - DECLARATIONS

You must provide the information and documents that are requested, unless you have already submitted them to the OACIQ. Where necessary, you may attach a sheet to complete your answers. Note that the agency executive officer is responsible for the answers in this section even though it was completed by another person.

Since the last licence issuance application or information update or if you have never disclosed the information before:

1.	Has the legal pe	rson or	partnership ever been the holder of a certific	cate issued by the ACAIQ or of a licence issued by the OACIQ?
	Yes	No		
2.	•	•	question 1, has this certificate or licence eve ittee of the ACAIQ or the OACIQ?	er been revoked, suspended or made subject to restrictions or conditions
	Yes	No	N/A	
3.	• .			kerage licence, has it been the subject of a claim or filed a notice of losage immobilier du Québec (FARCIQ) regarding its professional liability?
	Yes	No		
4.	• .	•	artnership currently or has it ever been a me itoring real estate brokerage?	ember of a body, in another province or State (international), responsible
	Yes	No		
	If yes, plea	se provi	ide the following information:	
	a) Name of	body _		
	b) Country		c) Province/State	d) Member number

5. If you answered yes to question 4, has this licence or certificate ever been revoked, suspended or made subject to restrictions or conditions by this body?

Yes No N/A

If yes, please complete and send us the Form to be completed in case of conviction – Penal offence and criminal act, available on the OACIQ's website.

6. Has the legal person or partnership ever made an assignment of property or been placed under a receiving order pursuant to the *Bankruptcy* and *Insolvency Act*?

Yes No

If yes, please complete and send the Form to be completed in case of bankruptcy, available on the OACIQ's website, and the required documents.

You must mention any conviction or guilty plea (including those for which you are appealing or awaiting a sentence), those for which you received a discharge (whether conditional or unconditional) or a record suspension (formerly called "pardon").

7. Regardless of the time period elapsed since your conviction, has the legal person or partnership ever pleaded guilty to, or been found guilty by a court, in Canada or elsewhere, of a criminal offence? (Note that you are required to declare any conviction or guilty plea regardless of its nature or relation (perceived or not) to the real estate brokerage field, including those for which you are appealing or awaiting a sentence).

Yes No

If yes, please complete and send us the Form to be completed in case of conviction – Penal offence and criminal act, available on the OACIQ's website, along with the following documents:

- a) Information (Indictment);
- b) Record of proceedings of guilt and sentence;
- c) Written judgement or, if it is not available, audio recordings of hearing on guilt and sentence, if the conviction is less than 10 years;
- d) Pre-sentencing report;
- e) Probation order, if applicable;
- f) Record suspension application, if applicable;
- g) Decision refusing criminal record suspension, if applicable.

SECTION V - DECLARATIONS (continued)

DEC	IION V - DECLARATIONS (CONTINUED)
8.	Except for a violation to the <i>Highway Safety Code</i> and municipal by-laws, has the legal person or partnership pleaded guilty to, or found guilty by a court, in Canada or elsewhere, of a penal offence pursuant to a provincial or federal law or any other applicable legislation?
	Yes No
	If yes, please complete and send the Form to be completed in case of conviction, available on the OACIQ's website, along with the following documents:
	a) Statement of offence or indictment
	b) Written judgement or, if it is not available, record of proceedings of guilt and sentence
	c) Audio recordings of hearing on guilt and sentence, if the conviction is less than 10 years
9.	Has the legal person or partnership ever pleaded guilty to, or been found guilty by a court, in Canada or elsewhere, of a breach of ethics?
	Yes No
	Ilf yes, please complete and send us the Form to be completed in case of conviction – Penal offence and criminal act, available on the OACIQ's website.
10.	Has the legal person or partnership been the subject of a claim with the Indemnity Fund or Insurance Fund of another order, body, corporation or professional association?
	Yes No N/A
	If yes, please complete and send us the Form to be completed in case of conviction – Ethical Offence, available on the OACIQ's website, along with any available document.
11.	What is the agency's intended main area of practice?
	Residential Commercial
12.	Is the agency franchised?
	Yes No
13.	If you answered yes to question 12, did you obtain the franchisor's authorization to use his name and logo?
	Yes No N/A
14.	How many brokers do you intend to employ within the agency?
	Number of brokers:

SECTION VI – FORMER AGENCY WHOSE LICENCE HAS BEEN REVOKED FOR LESS THAN 12 MONTHS

If you are a former OACIQ agency licence holder and your licence has been revoked following, for example, the non-payment of the annual licence maintenance fees, please answer the following questions:

a) Have there been any changes in the name, status or corporate structure of your legal person or partnership (e.g. merger, reincorporation, etc.) of which the Certification Department has not been notified?

Yes No

Please provide a statement showing up-to-date information as published in the Registraire des entreprises (REQ).

b) Did you have any brokerage contracts in progress when your agency licence was revoked?

Yes No

If you answered yes:

Do you certify that you and your brokers have immediately notified in writing the parties represented by you or one of your brokers that you were no longer authorized to act on your respective behalf and that your brokerage contracts were terminated automatically due to the cessation of agency activities? Read *this article* for more details.

Yes No

Sending notices to your clients is a mandatory condition for the issuance of your licence.

SECTION VI - FORMER AGENCY WHOSE LICENCE HAS BEEN REVOKED FOR LESS THAN 12 MONTHS (continued)

,	, your agency or one of your suspended brokers, due to the cessation of the agency e agency licence revocation period? Read <i>this article</i> for more details.	's activities, carried out real estate brokerage activities
Yes	No	
Names of	f brokers who carried out brokerage activities during the agency licence revocation	on period (add a page as an annex if necessary):
NAME		LICENCE NUMBER
NAME		LICENCE NUMBER

SECTION VII – AUTHORIZATION TO DISCLOSE (OPTIONAL)

COMMERCIAL OR PHILANTHROPIC PROSPECTION

I authorize the OACIQ to disclose the following information to companies or individuals who will use it for commercial or philanthropic prospection purposes: my name and, if applicable, my common name; my licence number; the fact that I hold a real estate broker's licence; the titles I may use; the corporate name or assumed name of the agency on whose behalf I will carry out my activities; the address and phone number of my establishment; and my email address.

REGISTER OF LICENCE HOLDERS

I authorize the OACIQ to publish my email and website addresses in the Register of licence holders.

You may at any time withdraw (or give) your consent to disclose information for commercial or philanthropic prospection purposes or to publish your email/website address in the Register of licence holders. To do so, go to **My record** on synbad.com or contact the Certification Department whose contact information is indicated on this form.

SECTION VIII - CONSENT, DECLARATION AND SIGNATURE

■ CONSENT TO THE COLLECTION, USE AND DISCLOSURE OF PERSONAL INFORMATION

The OACIQ protects the privacy of information it collects in accordance with the applicable legislation and its personal information governance policies. The OACIQ collects your personal information via this form. This information is necessary and it will be used for the following purposes:

- · Verification of your identity.
- Processing of your application for OACIQ licence issuance to ensure that your application meets the conditions set forth in the regulations.
- · Payment of your licence issuance application fee.
- Criminal record check.
- · Keeping of the OACIQ Register of licence holders.

Where applicable, your personal information may be used to oversee your practice by OACIQ staff members or committee members whose duties so require.

To verify your background record, the OACIQ may disclose your personal information to companies specialized in background checks.

The payment information collected via this form is destroyed once the processing of your application is completed.

In some cases prescribed by law, your personal information may be used for purposes other than those described above or disclosed to third parties without your consent.

Right of access and correction

Subject to certain reservations, the law authorizes you to access your personal information. You may request corrections to your personal information held by the OACIQ if it is inaccurate, incomplete or equivocal, or if the collection, release or keeping of the information is not authorized by law.

Consequences of refusal

This collection of your personal information is necessary to process your application. In the event that you withdraw your consent to the collection, use or disclosure of your personal information, the OACIQ will not be able to receive or process your application.

Consent

I CONFIRM that I have read and understood the information regarding the collection, use and disclosure of my personal information. I consent to the collection, use and disclosure of my personal information.

I DECLARE that all the information contained in this form is accurate and I understand that any misrepresentation will result in the revocation of my licence. I authorize third parties to disclose to the OACIQ, and to any person it may mandate, personal information regarding my criminal record. I undertake to notify the OACIQ immediately of any change to this information.

Please sign the form AFTER completing ALL the sections.

SECTION IX – PAYMENT OF FEES

Please fill out the payment form.

To determine applicable fees, please visit the OACIQ's website.

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CREDIT CARD or	CHEQUE OR MONEY ORDER (to the order of OACIQ)
/isa MasterCard	CARD NUMBER The CVV/CVC is a three-digit code located on the back of your credit card. EXPIRATION (MM/YY) CVV/CVC
	erent from applicant)

PLEASE SEND YOUR PAYMENT AND DOCUMENTS

to the Certification Department by email at CERTIFICATION@OACIQ.COM, by mail or by fax to any of the contact information indicated below.

Organisme d'autoréglementation du courtage immobilier du Québec

4905 Lapinière Blvd., Suite 2200, Brossard (Québec) J4Z 0G2

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